



**In the United States Patent and Trademark Office**

Applicant: Lezdey  
Serial No.: 10/672,177  
Filed: 09/26/2003  
For: DNA for Expression of Alpha1-Antitrypsin in Methylotropic Yeast

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA. 22313-1450

**TRANSMITTAL**

Dear Sir:

Please find enclosed for filing:

X  Post Card  
 X  Copy of Notice  
 X  Response

Date: December 30, 2003  
Docket No.: 1435-1

Respectfully submitted,

John Lezdey  
Registration No. 22,735  
John Lezdey & Associates  
4625 East Bay Drive  
Suite 302

Clearwater, FL 33764

(727) 539-0633

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PRINT OR TYPE NAME OF PERSON SIGNING CERTIFICATE

John Lezdey

SIGNATURE OF PERSON SIGNING CERTIFICATE

12-30-03

SIGNATURE

DATE



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**Response**

Responsive to the Notice mailed 12/22/2003.

In response to the request to file a sequence listing, applicants request pursuant to 37 C.F.R. 1.821(e) that the disc and readable form filed in application no. 09/526,401 and later filed in application no. 09/981,073 of which this application is a continuation-in-part be made of record in the present application.

No new matter is being submitted.

Enclosed is a copy of the Notice to Comply.

Respectfully submitted,

John Lezdey  
Registration No. 22,735

John Lezdey & Associates  
4625 East Bay Drive  
Suite 302  
Clearwater, FL. 33764  
(727) 539-0633

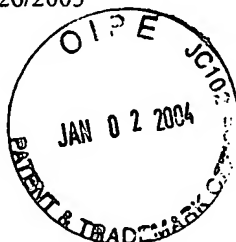


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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/672,177	09/26/2003	John Lezdey	1435-1

JOHN LEZDEY  
 JOHN LEZDEY & ASSOC.  
 4625 EAST BAY DR. SUITE 302  
 CLEARWATER, FL 33764



CONFIRMATION NO. 9860

## FORMALITIES LETTER



\*OC000000011550669\*

Date Mailed: 12/22/2003

# NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

*Filing Date Granted*

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- o This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- o For Rules Interpretation, call (703) 308-4216
- o To Purchase PatentIn Software, call (703) 306-2600
- o For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice MUST be returned with the reply.*

  
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